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PATENT

ATTORNEY DOCKET NO.: KCX-472 (17476)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Gerald James
Keberlein

) Group Art Unit: 3634

Serial No.: 10/925,384

) Examiner: Not known

Filed: December 19, 2001

) Our Cust. No.: 22827

Title: Sheet Dispenser and Carton
For Making a Sheet Dispenser

Commissioner of Patent and Trademarks
Washington, D.C. 20231

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Technology Center 2100

TRANSMITTAL LETTER

Dear Sir:

We are enclosing the attached documents as listed below:

- (1) Filing Completion Cover Sheet
- (2) Fee Transmittal
- (3) Return Copy of PTO Notice
- (4) Check for \$1,174.00 for payment of fees
- (5) Recordation Form Cover Sheets
- (6) Assignment
- (7) Declaration
- (8) Transmittal Letter for Information Disclosure Statement
- (9) Information Disclosure Statement and List w/ references
- (1) Formal Drawings (8)

Please charge any additional fees required to Deposit

Account No. 04-1403.

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GROU 3000

Respectfully requested,

DORITY & MANNING, P.A.



John E. Vick, Jr.

Registration No. 33,808

DORITY & MANNING, P.A.

P.O. Box 1449

Greenville, SC 29602-1449

Phone: (864) 271-1592

Facsimile: (864) 233-7342

Date:

3/15/02

205620-436200F

FILING COMPLETION UNDER 37 CFR 52, 53, and/or 85

Handwritten initials and number 3

In re Application of: **Gerald James Keberlein**

Attention: **Application Branch**

Serial No.: **10/925,384**

Date: **March 15, 2002**

Filed: **December 19, 2001**

Attorney Docket No.: **KCX-472 (17476)**

Confirmation No.: **5212**

Art Unit: **3634**

Title: **Sheet Dispenser and Carton for Making A Sheet Dispenser** Our Account No.: **04-1403**

Commissioner for Patents
U.S. Patent and Trademark Office
Washington, DC 20231

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- Sir:
- The following completes the filing of the above-identified patent application under Rule 52, 53, and/or 85:
- ☒ Rule 53 signed Declaration enclosed.
 - ☐ This is a Rule 47 filing per attached Petition.
 - ☐ Enclosed: Original signed Declaration with attached specification (including claims) which is a copy of specification/claims originally filed to secure above filing date.
 - ☐ Specification originally filed in non-English language; hence verified translation attached of:
 - ☐ Abstract ☐ ___ pages of Specification (only spec. & claims) ☐ Drawing Figs.
 - ☐ ___ sheet(s) of formal drawings enclosed: size: ☐ A4 ☐ 14" ☐ 8½ by 11
 - ☒ Attached is an assignment to Kimberly-Clark Worldwide, Inc.
 - ☐ Priority is hereby claimed under rule 55 and 35 U.S.C. 119 based on prior foreign application(s) no(s).
_____, filed in _____ on _____, respectively.
 - ☐ Certified copy (copies) ☐ attached; ☐ already filed on _____ in U.S. Application, Serial No. _____, filed _____.
 - ☐ "Small Entity" status under Rules 9 & 27 claimed. ☐ Attached: ___ Verified Statement(s) establishing "small entity."
 - ☒ Attached is the request return copy of the PTO Notice under Rule 52, 53, and/or 85.
 - ☒ Attached: Information Disclosure Statement and List with References and Formal Drawings
 - ☐ Preliminary Amendment: _____

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED
PER MPEP § 506 AND § 607

If not earlier paid, add Basic Filing Fee		\$	740.00
Total Effective Claims <u>30</u> - 20 = <u>10</u> x \$18.00	=	\$	180.00
Independent Claims <u>4</u> - 3 = <u>1</u> x \$84.00	=	\$	84.00
If <u>any proper</u> multiple dependent claim (ignore improper) is present, add \$280.00		\$	
	Filing Fee	\$	1004.00

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GROUP 3000

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL
for FY 2002

Patent fees are subject to annual revision.

Complete if Known

Application Number	10/25,384
Filing Date	12/19/2001
First Named Inventor	Gerald James Keberlein
Examiner Name	Not known
Group Art Unit	3634
Attorney Docket No.	KCX-472 (17476)

TOTAL AMOUNT OF PAYMENT (\$) 1174.00

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **04-1403**
Deposit Account Name **Dority & Manning, Attorneys at Law, P.A.**

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION**1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	740.00
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$) 740.00

2. EXTRA CLAIM FEES

Total Claims **30** -20** = **10** x **18.00** = **180.00**
Independent Claims **4** -3** = **1** x **84.00** = **84.00**
Multiple Dependent = **0.00**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 264.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
105 130	205 65	Surcharge - late filing fee or oath
127 50	227 25	Surcharge - late provisional filing fee or cover sheet
139 130	139 130	Non-English specification
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination
112 920*	112 920*	Requesting publication of SIR prior to Examiner action
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action
115 110	215 55	Extension for reply within first month
116 400	216 200	Extension for reply within second month
117 920	217 460	Extension for reply within third month
118 1,440	218 720	Extension for reply within fourth month
128 1,960	228 980	Extension for reply within fifth month
119 320	219 160	Notice of Appeal
120 320	220 160	Filing a brief in support of an appeal
121 280	221 140	Request for oral hearing
138 1,510	138 1,510	Petition to institute a public use proceeding
140 110	240 55	Petition to revive - unavoidable
141 1,280	241 640	Petition to revive - unintentional
142 1,280	242 640	Utility issue fee (or reissue)
143 460	243 230	Design issue fee
144 620	244 310	Plant issue fee
122 130	122 130	Petitions to the Commissioner
123 50	123 50	Processing fee under 37 CFR 1.17(q)
126 180	126 180	Submission of Information Disclosure Stmt
581 40	581 40	Recording each patent assignment per property (times number of properties)
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))
179 740	279 370	Request for Continued Examination (RCE)
169 900	169 900	Request for expedited examination of a design application

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 170.00

SUBMITTED BYName (Print/Type) **John E. Vick, Jr.**Registration No. **33,808**
(Attorney/Agent)**Complete (if applicable)**Telephone **(864) 271-1592**

Signature

Date **03/15/2002**

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

#3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/025,384	12/19/2001	Gerald James Keberlein	KCX-472 (17476)

CONFIRMATION NO. 5212

FORMALITIES LETTER



OC000000007378003

John E. Vick, Jr.
Dority & Manning, Attorneys at Law, P.A.
P.O. Box 1449
Greenville, SC 29602

Date Mailed: 01/28/2002

Adjustment date: 05/15/2002 SSALEEKU

03/27/2002 HROCHA1 00000011 10925384

01 FC:101	-740.00 OP
02 FC:102	-84.00 OP
03 FC:103	-180.00 OP
04 FC:105	-130.00 OP

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/27/2002 HROCHA1 00000011 10925384

FILED UNDER 37 CFR 1.53(b)

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APR 15 2002

Filing Date Granted

GROUP 3600

01 FC:101	-740.00 OP
02 FC:102	-84.00 OP
03 FC:103	-180.00 OP
04 FC:105	-130.00 OP

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The statutory basic filing fee is missing.

Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).

- o Total additional claim fee(s) for this application is \$264.

- ☐ \$180 for 10 total claims over 20.
- ☐ \$84 for 1 independent claims over 3.

05/15/2002 SSALEEKU 00000009 10025384

01 FC:101	740.00 OP
02 FC:102	84.00 OP
03 FC:103	180.00 OP
04 FC:105	130.00 OP

- o The oath or declaration is missing.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- o **The balance due by applicant is \$ 1134.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- o Substitute drawings in compliance with 37 CFR 1.84 because:

- ☐ drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.


LAFFW26

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/11/2011 11:11:11 AM